



**COMMONWEALTH LOTTERY
COMMISSION**

P.O. Box 5234 CHRB, Saipan MP 96950 TEL: (670) 664-1100 Fax: 670 664-1115



APPLICATION FOR PRELIMINARY FINDING OF SUITABILITY FOR CONDITIONAL LICENSE

I. APPLICANT INFORMATION

A. Name of Individual Applicant

Full Name _____
Last First Middle

Mailing Address _____
P.O. Box/Street Address Apartment/Unit#
City State ZIP Code

Phone No. _____ Email: _____

Position _____ Percentage of Interest _____

B. Entity Applicant

Full Name _____
Last First Middle

Mailing Address _____
P.O. Box/Street Address Apartment/Unit#
City State ZIP Code

Tax I.D. No. _____

NOTE: THIS FORM AND ALL APPLICABLE BACK-UP MATERIAL MUST ACCOMPANY THE REQUIRED APPLICATION FORMS FOR A LOTTERY LICENSE.

II. DECLARATION AND ACKNOWLEDGEMENT

I, _____, declare under the penalty of perjury that the information provided in this application is true, complete, and accurate to the best of my knowledge and belief. I understand that misrepresentation or failure to disclose material information may be grounds for denial, suspension, or revocation of a lottery license.

In addition, the undersigned hereby acknowledges that if granted a “preliminary finding of suitability” by the Commission, such finding is *conditional* and subject to ongoing review. The undersigned further acknowledges that:

1. A conditional license may be issued by the Commission upon a preliminary finding of suitability, but such license shall not be construed as a final or full lottery license.
2. The issuance of a conditional license does not entitle the applicant to continued licensure or to issuance of a full lottery license. The Commission retains sole and continuing discretion to deny, revoke, or limit any license based on ongoing investigations, noncompliance, or other cause.
3. The undersigned may participate in lottery-related activities only to the extent permitted by the Commission.
4. The preliminary finding of suitability and/or conditional license may be suspended or revoked at any time if the Commission determines that the licensee has failed to satisfy the terms and conditions of licensure, including any requirements of continued cooperation, disclosure, or background investigation. The Commission shall provide written notice of the basis for such action and shall afford the licensee an opportunity to be heard in accordance with applicable Commission procedures.
5. If the undersigned is found unsuitable at any time, the undersigned shall immediately cease all participation in lottery operations within the Commonwealth.
6. If any individual applicant associated with the licensee (including an owner, officer, director, or other associated person) is found unsuitable, the licensed entity shall:
 - a. Not pay any compensation, profits, dividends, interest, or other remuneration to such individual;
 - b. Not allow such individual to vote, make decisions, or exercise any control over the licensed entity;
 - c. Not permit such individual to receive any financial benefit from the operations of the lottery entity in any form.
7. The undersigned agrees that, if found unsuitable, all necessary steps shall be taken to fully divest any ownership, financial, or controlling interest in any licensed lottery operations, within a time period specified by the Commission.
 - a. If the undersigned is an individual, this obligation applies to his/her/their own interest.
 - b. If the undersigned is an entity, this obligation applies to the interest of any owner, officer, director, member, or other affiliated person determined by the Commission to be unsuitable.
8. The undersigned agrees to fully cooperate with all background investigations and suitability reviews, including providing requested documents and information, submitting to interviews, and responding to follow-up inquiries as required by the Commission.
9. The undersigned agrees to pay all investigative and licensing fees as required by the Commission.
10. Failure to cooperate with any investigation, refusal to provide requested information, or failure to pay applicable fees may result in a determination of unsuitability and revocation of any license issued.
11. The undersigned acknowledges a continuing obligation to promptly disclose to the Commission any change in information previously submitted, including any material facts or circumstances that may affect the applicant’s suitability.

**THE OBLIGATIONS HEREIN CONTAINED ARE NOT INTENDED TO BE COMPLETE.
CNMI LAWS AND REGULATIONS MUST BE FOLLOWED AND ARE APPLICABLE AT ALL TIMES.**

Applicant _____
Signature

Notarization Required

State of: _____

County/Island: _____

Sworn to me (or affirmed) before me on

this _____ day of _____, 20____.

(SEAL)

Signature of Notary Public

My Commission Expires _____

NOTICE

THIS APPLICATION MAY NOT BE WITHDRAWN WITHOUT THE PERMISSION OF THE COMMISSION